PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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2 4 JAN 2005 DT02 Rec'd PCT/PTO

TD ANOMITTAL LETTE	D TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER			
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		02296.002360			
		U.S. APPRITATIONEO. (Thing) n. (ce 3 7. F. 1971.5)			
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
PCT/GB03/003166	23 July 2003	23 August 2002			
TITLE OF INVENTION					
BARRIER LAYER BASED ON POLYOL					
APPLICANT(S) FOR DO/EO/US Andrew Gordon Johnston et a.		,			
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US)	the following items and other information:			
	concerning a submission under 35 U.S.C.				
	T submission of items concerning a subm				
3. This is an express request to begin na		371(f)). The submission must include items (5), (6), (9)			
and (21) indicated below.					
4. The US has been elected (Article 31)					
5. X A copy of the International Application					
. =	nly if not communicated by the Internation	nal Bureau).			
b. X has been communicated by the	ne International Bureau.				
c. is not required, as the application	tion was filed in the United States Receiving	ing Office (RO/US).			
6. An English language translation of the	e International Application as filed (35 U.	S.C. 371(c)(2)).			
a. is attached hereto.					
	d under 35 U.S.C. 154(d)(4).				
	ernational Application under PCT Article				
a. X are attached hereto (required	only if not communicated by the Internation	onal Bureau).			
b. have been communicated by					
c. have not been made; howeve	r, the time limit for making such amendme	ents has NOT expired.			
d. have not been made and will					
	ne amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).			
9. An oath or declaration of the invento					
	ne annexes to the International Preliminary	Examination Report under PCT Article 36 (35 U.S.C.			
371(c)(5)).	nt(s) or information included:	•			
Items 11 to 20 below concern other docume 11. An Information Disclosure Statemen					
		with 37 CFR 3.28 and 3.31 is included.			
12. ' An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. X A preliminary amendment.					
14. X An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.					
16. A power of attorney and/or change of address letter.					
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.					
18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).					
19. A second copy of the English language translation of the International Application under 35 U.S.C. 134(d)(4). 20. X Other items or information: Published International Application including Art 19 Amendment and International Search Report					

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the PTO to process) an application. Confidentiality is governed by 35 U.S.C. § 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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U.S. APPLICATION NO. (If known, see 37 C.F.R.1.5) INTERNATIONAL APPLICATION NO. 10/52265 PCT/GB03/003166			ATTORNEY'S DO 02296.002360	CKET NUMBER	
					
21. The following fees are submitted: a) Basic national fee			\$300.00	\$300	
a) Basic national fee				\$200	
				\$500	
c) scarcil lee			\$1000.00	\$1000	
				4.	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			\$250		
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	<u>~</u>	
- 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 from the earliest claim	for furnishing the oath led priority date (37 CF	or declaration later than 30 months R 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	26 -20 =	6	X \$50.00	\$300	
	3 _	,	x	\$200	
Independent Claims	4 - 3 =	1	\$200.00	\$200	
Multiple dependent cl	aim(s) (if applicable)		+ \$360.00	\$	
		TOTAL OF ABOVE CALCU	<u> </u>	\$	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by				\$	
1/2.		SU	BTOTAL =	\$1500	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
Claimed priority dute ((*/)	TOTAL NATIO	NAL FEE =	\$1500	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			npanied by	\$	
		TOTAL FEES EN	CLOSED =	\$1500	
				Amount to be refunded:	s
				Amount to be charged:	\$
a. X A check in t	he amount of \$1500 to	cover the above fees is enclosed.			
b. Please charg	-		er the above fe	es. A duplicate copy	of this sheet is
enclosed.	. to to be analysis assalt an	ined to change any additional face which may b	e required or	credit any overnavme	ent to Denosit
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit					
Account No. 06-1205 . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRES			SIGNATURE	l er	
Fitzpatrick, Cella, Harper & Scinto					
30 Rockefeller Plaz					
New York, NY 101	12-3800		NAME 34,382		
				TION NUMBER	

10/522657

02296.002360

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER 35 U.S.C. 371

TRANSMITTAL LETTER

BARRIER LAYER BASED ON POLYOL

Inventors:

Andrew Gordon Johnston Jeremy L. Meyer

EXPRESS MAIL mailing label number EV 304107045 US
DATE OF DEPOSIT
I hereby certify that this paper or fee is being deposited with the United States Posta Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P. O. Box 1450 Alexandria, Virginia 22313-1450
Raymond R. Mandra
(Name of Attorney for Applicant)
Recon
(Signature of person mailing paper or fee)

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02296.002360

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER 35 U.S.C. 371

PRELIMINARY AMENDMENT BARRIER LAYER BASED ON POLYOL

Inventors:

Andrew Gordon Johnston Jeremy L. Meyer

EXPRESS MAIL mailing label number EV 304107045 US
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Raymond R. Mandra
(Name of Attorney for Applicant)
pol 2m
(Signature of person mailing paper or fee)

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Docketing	Client Matter No.: <u>01263.002638.</u>
L.P. Diana	25 January 2005
Source of IDS In	formation
The a	ttached Information Disclosure Statement:
X	Cites information forwarded in correspondence from the client dated
	14 April 2004 .
X	Cites information from our in-house inventor search.
X	Other (provide brief explanation).
	In the Specification

ANTHONY M. ZUPCIC
DIRECT DIAL (212) 218-2240
E-MAIL azupck@fchs.com

January 25, 2005

VIA DHL COURIER

BERESFORD & CO. European Patent Attorneys Chartered Patent Attorneys 16 High Holborn London WC1V 6BX United Kingdom

Re:

U.S. Patent Appln. No. 10/681,230

Canon Ref.: CFP 2638 US Your Ref.: NRPF/EC/2792850

Dear Sirs:

Enclosed are two copies of an Information Disclosure Statement which we filed in connection with the above-identified application in order to cite information identified in your letter of April 14, 2004, in the specification, and in a common-inventor cross-reference check of other Canon files in our office.

Very truly yours,
FITZPATRICK, CELLA, HARPER & SCINTO

By:		
	Anthony M. Zupci	С

AMZ:vif Enclosures

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